

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF/024SERIAL NO.
09/927,628INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
Andrew H. PritchardFILING DATE
August 10, 2001GROUP
2162

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>OIA</i>	3,976,840	08/24/76	Cleveland et al.	179	2	
<i>I</i>	4,412,287	10/25/83	Braddock, III	364	408	
	4,674,044	06/16/87	Kalmus et al.	364	408	
	4,823,265	04/18/89	Nelson	364	408	
	5,101,353	03/31/92	Lupien et al.	364	408	
	5,126,936	06/30/92	Champion et al.	364	408	
	5,132,899	07/21/92	Fox	364	408	
	5,161,103	11/03/92	Kosaka et al.	364	408	
	5,220,500	06/15/93	Baird et al.	364	408	
	5,267,148	11/30/93	Kosaka et al.	364	408	
	5,270,922	12/14/93	Higgins	364	408	
	5,689,651	11/18/97	Lozman	395	237	
	5,761,661	06/02/98	Coussens et al.	707	9	
	5,778,357	07/07/98	Kolton et al.	707	2	
	5,806,048	09/08/98	Kiron et al.	705	36	
	5,893,079	04/06/99	Cwenar	705	36	
<i>↓</i>	5,946,667	08/31/99	Tull, Jr. et al.	705	36	
<i>O-A</i>	5,950,176	09/07/99	Keiser et al.	705	37	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<i>O-A</i>	WO 98/49639	11/05/98	PCT	G06F	17/60		
<i>O-A</i>	WO 99/01983	01/14/99	PCT	H04N	7/14		X
<i>O-A</i>	WO 00/26745	05/11/00	PCT	G06F			

EXAMINER



DATE CONSIDERED 7/20/06

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

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CF/024SERIAL NO.
09/927,628INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
Andrew H. PritchardFILING DATE
August 10, 2001GROUP
2162

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

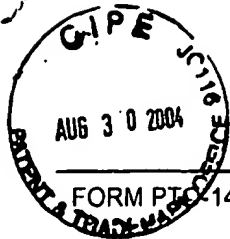
EXAMINER INITIAL	
D.A.	Standard & Poor's Depository Receipts® ("SPDRs")®, SPDR Trust, Series 1, A Unit Investment Trust, prospectus dated 4/25/96.
O.A.	Jinny St. Goar, "Weaving WEBS and Baskets," from the Internet at http://www.assetpub.com/archive/gc/96-02gcsummer/summer96GC086.html , printed on 8/2/00.

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF-24APPLICATION NO.
09/927,628INFORMATION DISCLOSURE
STATEMENT BY APPLICANTSAPPLICANT
Andrew H. PritchardCONFIRMATION NO.
5363FILING DATE
August 10, 2001GROUP
3624

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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SEP 2 2004

GROUP 3600

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

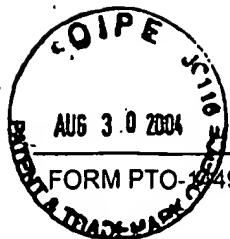
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
0-A	United States Department of the Treasury, Bureau of the Public Debt, at http://www.publicdebt.treas.gov , printed August 25, 2004.
	Actively Managed Exchange-Traded Funds, Investment Company Act Release No. 25258, November 8, 2001.
	Merrill Lynch, Pierce, Fenner & Smith Incorporated, SEC No Action Letter (1999 SEC No-Act. LEXIS 737), pub. avail. September 3, 1999.
	Indosuez Asset Management Asia Limited, SEC No Action Letter (1997 SEC No-Act. LEXIS 326), pub. avail. February 14, 1997.
	Commonwealth Bank of Australia, SEC No Action Letter (1996 SEC No-Act. LEXIS 865), pub. avail. September 23, 1996.
	Robertson, Stephens & Company, SEC No Action Letter (1993 SEC No-Act. LEXIS 435), pub. avail. March 13, 1993.
	CRT Government Securities, Ltd., SEC No Action Letter (1992 SEC No-Act. LEXIS 844), pub. avail. August 4, 1992.
2-A	Bear, Stearns & Co. Inc., SEC No Action Letter (1992 SEC No-Act. LEXIS 81), pub. avail. January 28, 1992.

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FORM PTO-1049

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE
STATEMENT BY APPLICANTSATTY. DOCKET NO.
CF-24APPLICANT
Andrew H. PritchardFILING DATE
August 10, 2001APPLICATION NO.
09/927,628CONFIRMATION NO.
5363GROUP
3624

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>O.A.</i>	Merrill Lynch, Pierce, Fenner & Smith, Inc., SEC No Action Letter (1990 SEC No-Act. LEXIS 1136), pub. avail. September 26, 1990.
<i>O.A.</i>	Financial Security Assurance Inc., SEC No Action Letter (1988 SEC No-Act. LEXIS 456), pub. avail. March 30, 1988.
<i>O.A.</i>	Central Utah Rural Impact Capital Corp., SEC No Action Letter (1980 SEC No-Act. LEXIS 3589), pub. avail. August 29, 1980.

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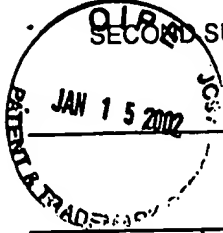
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DATE CONSIDERED

7/20/06

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
CF-24APPLICATION NO.
09/927,628SECOND SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
Andrew H. PritchardCONFIRMATION NO.
5363FILING DATE
August 10, 2001GROUP
2162

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
O.A.	6,088,685	07/11/2000	Kiron et al.	705	36	

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JAN 23 2002
Technology Center 2100

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DATE CONSIDERED 7/20/06

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